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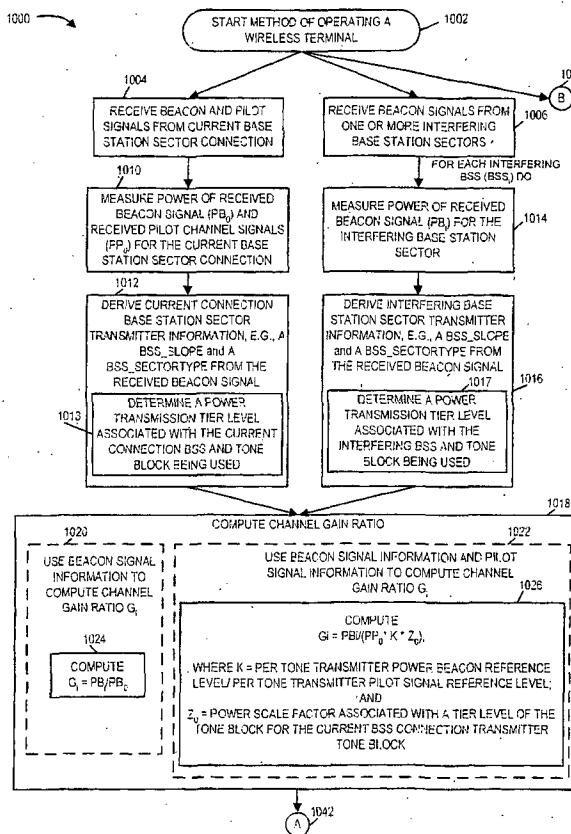
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(54) Title: METHODS AND APPARATUS FOR DETERMINING, COMMUNICATING AND USING INFORMATION WHICH CAN BE USED FOR INTERFERENCE CONTROL PURPOSES



(57) Abstract: Methods and apparatus for collecting, measuring, reporting and/or using information which can be used for interference control purposes. Wireless terminals measure signals transmitted from one or more base stations, e.g., base station sector transmitters. The measured signals may be, e.g., beacon signals and/or pilot signals. From the measured signals, the wireless terminal generates one or more gain ratios which provide information about the relative gain of the communications channels from different base station sectors to the wireless terminal. This information represents interference information since it provides information about the signal interference that will be caused by transmissions from other base station sectors relative to transmissions made by the base station sector to which the wireless terminal is attached. Based on the signal energy measurements and relative gains generated from the energy measures, reports are generated in accordance with the invention and sent to one or more base stations.

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**METHODS AND APPARATUS FOR DETERMINING, COMMUNICATING AND
USING INFORMATION WHICH CAN BE USED FOR INTERFERENCE CONTROL
PURPOSES**

5 **FIELD OF THE INVENTION**

The present invention relates to wireless communications system and, more particularly, to method and apparatus for collecting, measuring, reporting and/or using information which can be used for interference control purposes in a wireless communications system.

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BACKGROUND

In a wireless multiple access communication system, wireless terminals contend for system resources in order to communicate with a common receiver over an uplink channel. An 15 example of this situation is the uplink channel in a cellular wireless system, in which wireless terminals transmit to a base station receiver. When a wireless terminal transmits on the uplink channel, it typically causes interference to the entire system, e.g., neighboring base station receivers. Since wireless terminals are distributed, controlling the interference generated by their transmission is a challenging problem.

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Many cellular wireless systems adopt simple strategies to control uplink interference. For example CDMA voice systems (e.g., IS-95) simply power control wireless terminals in such a manner that their signals are received at the base station receiver at approximately the same power. State-of-the-art CDMA systems such as 1xRTT and 1xEV-DO allow for wireless 25 terminals to transmit at different rates, and be received at the base station at different powers. However, interference is controlled in a distributed manner which lowers the overall level of interference without precisely controlling those wireless terminals that are the worst sources of interference in the system.

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This existing body of interference-control approaches limits the uplink capacity of wireless systems.

It would be useful if a base station could be provided with information that could be used 35 in determining the amount of signal interference that will be created in neighboring cells when a transmission occurs and/or the amount of interference a wireless terminal is likely to encounter

due to signal interference. It would be particularly desirable if information which can be used for interference determination purposes could be supplied by one or more wireless terminals to a base station.

5 **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 is a drawing of an exemplary wireless communications system implemented in accordance with the present invention.

10 Figure 2 shows an example of a base station implemented in accordance with the present invention.

Figure 3 illustrates a wireless terminal implemented in accordance with the present invention.

15 Figure 4 illustrates a system in which a wireless terminal is connected to a base station sector and measures the relative gains associated with a plurality of interfering base stations in accordance with the invention.

20 Figure 5 is a flow chart illustrating a method of measuring signal energy, determining gains and providing interference reports in accordance with the invention.

Figure 6 illustrates an uplink traffic channel and segments included therein.

25 Figure 7 illustrates assignments which can be used by a base station to assign uplink traffic channel segments to a wireless terminal.

SUMMARY

30 The present invention is directed to methods and apparatus for collecting, measuring, reporting and/or using information which can be used for interference control purposes.

In accordance with the invention, wireless terminals, e.g., mobile nodes, measure signals transmitted from one or more base stations, e.g., base station sector transmitters. The measured

signals may be, e.g., beacon signals and/or pilot signals. The beacon signals may be narrowband signals, e.g., a single tone. The beacon signals may have a duration of one, two or more symbol transmission time periods. However, other types of beacon signals may be used and the particular type of beacon signal is not critical to the invention. From the measured signals, the 5 wireless terminal generates one or more gain ratios which provide information about the relative gain of the communications channels from different base station sectors to the wireless terminal. This information represents interference information since it provides information about the signal interference that will be caused by transmissions to other base station sectors relative to transmissions made to the base station sector to which the wireless terminal is attached.

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Based on the signal energy measurements and relative gains generated from the energy measures, reports are generated in accordance with the invention and sent to one or more base stations. The reports may be in a plurality of different formats and may provide information about the interference from one interfering base station or the interference caused by multiple 15 interfering base stations. One format provides information about the interference which is caused be a single interfering base station sector transmitter relative to a base station sector to which the wireless terminal is connected. A base station may request from a wireless terminal a transmission of an interference report providing interference about a specific base station sector. This is done by the base station transmitting a request for a specific interference report to the 20 wireless terminal. The request normally identifies the interfering BS sector for which the report is sought. The wireless terminal will respond to such a request by transmitting the requested report.

In addition to responding to requests for specific interference reports, wireless terminals, 25 in some embodiments, transmit interference reports generated in accordance with the invention according to a reporting schedule. In such embodiments, a base station having an active connection with a wireless terminal will receive interference reports on a predictable, e.g., predetermined, schedule.

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Depending on the embodiment, generation of gain ratios and/or reports may be a function of various factors indicative of relative transmission power levels used by different base station sectors and/or for different signals which may be measured. In this manner, signals which are transmitted at different power levels, e.g., pilots and beacon signals, can be measured

and used in generating reliable relative channel gain estimates by taking into consideration the different relative transmission power levels of the various signals being measured.

Numerous additional features, benefits and embodiments are described in the detailed

5 description which follows.

DETAILED DESCRIPTION

Methods and apparatus for collecting, reporting and using information which can be used
10 for interference control purposes in accordance with the present invention will now be described.
The methods and apparatus of the present invention are well suited for use with wireless
multiple access, e.g., multi-user, communications systems. Such systems may be implemented
as OFDM systems, CDMA systems or other types of wireless systems where signal interference
from transmission from one or more transmitters, e.g., adjacent base stations, is of concern.

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An exemplary embodiment of the invention is described below in the context of a
cellular wireless data communication system 100 of the present invention shown in Fig. 1.
While an exemplary cellular wireless system is used for purposes of explaining the invention,
the invention is broader in scope than the example and can be applied in general to many other
20 wireless communication systems as well.

In a wireless data communication system, the air link resource generally includes
bandwidth, time or code. The air link resource that transports user data and/or voice traffic is
called the traffic channel. Data is communicated over the traffic channel in traffic channel
25 segments (traffic segments for short). Traffic segments may serve as the basic or minimum
units of the available traffic channel resources. Downlink traffic segments transport data traffic
from the base station to the wireless terminals, while uplink traffic segments transport data
traffic from the wireless terminals to the base station. One exemplary system in which the
present invention may be used is the spread spectrum OFDM (orthogonal frequency division
30 multiplexing) multiple-access system in which, a traffic segment includes a number of frequency
tones defined over a finite time interval.

Figure 1 is an illustration of an exemplary wireless communications system 100,
implemented in accordance with the present invention. Exemplary wireless communications

system 100 includes a plurality of base stations (BSs): base station 1 102, base station M 114. Cell 1 104 is the wireless coverage area for base station 1 102. BS 1 102 communicates with a plurality of wireless terminals (WTs): WT(1) 106, WT(N) 108 located within cell 1 104. WT(1) 106, WT(N) 108 are coupled to BS 1 102 via wireless links 110, 112, respectively. Similarly, 5 Cell M 116 is the wireless coverage area for base station M 114. BS M 114 communicates with a plurality of wireless terminals (WTs): WT(1') 118, WT(N') 120 located within cell M 116. WT(1') 118, WT(N') 120 are coupled to BS M 114 via wireless links 122, 124, respectively. WTs (106, 108, 118, 120) may be mobile and/or stationary wireless communication devices. Mobile WTs, sometimes referred to as mobile nodes (MNs), may move throughout the system 10 100 and may communicate with the base station corresponding to the cell in which they are located. Region 134 is a boundary region between cell 1 104 and cell M 116. In the Fig. 1 system, the cells are shown as single sector cells. Multi-sectors cells are also possible and are supported. The transmitter of a base station sector can be identified based on transmitted information, e.g., beacon signals, which communicate a base station identifier and/or sector 15 identifier.

Network node 126 is coupled to BS 1 102 and BS M 114 via network links 128, 130, respectively. Network node 126 is also coupled to other network nodes / Internet via network link 132. Network links 128, 130, 132 may be, e.g., fiber optic links. Network node 126, e.g., a 20 router node, provides connectivity for WTs, e.g., WT(1) 106 to other nodes, e.g., other base stations, AAA server nodes, Home agents nodes, communication peers, e.g., WT(N'), 120, etc., located outside its currently located cell, e.g., cell 1 104.

Figure 2 illustrates an exemplary base station 200, implemented in accordance with the present invention. Exemplary BS 200 may be a more detailed representation of any of the BSs, BS 1 102, BS M 114 of Figure 1. BS 200 includes a receiver 202, a transmitter 204, a processor, e.g., CPU, 206, an I/O interface 208, I/O devices 210, and a memory 212 coupled together via a bus 214 over which the various elements may interchange data and information. In addition, the base station 200 includes a receiver antenna 216 which is coupled to the receiver 202 and a 25 transmitter antenna 218 which is coupled to transmitter 218. Transmitter antenna 218 is used for transmitting information, e.g., downlink traffic channel signals, beacon signals, pilot signals, assignment signals, interference report request messages, interference control indicator signals, etc., from BS 200 to WTs 300 (see Figure 3) while receiver antenna 216 is used for receiving 30

information, e.g., uplink traffic channel signals, WT requests for resources, WT interference reports, etc., from WTs 300.

The memory 212 includes routines 220 and data/information 224. The processor 206 executes the routines 220 and uses the data/information 224 stored in memory 212 to control the overall operation of the base station 200 and implement the methods of the present invention. I/O devices 210, e.g., displays, printers, keyboards, etc., display system information to a base station administrator and receive control and/or management input from the administrator. I/O interface 208 couples the base station 200 to a computer network, other network nodes, other base stations 200, and/or the Internet. Thus, via I/O interface 308 base stations 200 may exchange customer information and other data as well as synchronize the transmission of signals to WTs 300 if desired. In addition I/O interface 208 provides a high speed connection to the Internet allowing WT 300 users to receive and/or transmit information over the Internet via the base station 300. Receiver 202 processes signals received via receiver antenna 216 and extracts from the received signals the information content included therein. The extracted information, e.g., data and channel interference report information, is communicated to the processor 206 and stored in memory 212 via bus 214. Transmitter 204 transmits information, e.g., data, beacon signals, pilot signals, assignment signals, interference report request messages, interference control indicator signals, to WTs 300 via antenna 318.

As mentioned above, the processor 206 controls the operation of the base station 200 under direction of routines 220 stored in memory 212. Routines 220 include communications routines 226, and base station control routines 228. The base station control routines 228 include a scheduler 230, a downlink broadcast signaling module 232, a WT report processing module 234, a report request module 236, and an interference indicator module 238. The report request module 236 can generate requests for specific interference reports concerning a particular BS sector identified in the report request. Generated report requests are transmitted to one or more wireless terminals when the BS seeks interference information at a time other than that provided for by a predetermined or fixed reporting schedule. Data/Information 224 includes downlink broadcast reference signal information 240, wireless terminal data/information 241, uplink traffic channel information 246, interference report request information messages 248, and interference control indicator signals 250.

Downlink broadcast reference signal information 240 includes beacon signal information 252, pilot signal information 254, and assignment signal information 256. Beacon signals are relatively high power OFDM broadcast signals in which the transmitter power is concentrated on one or a few tones for a short duration, e.g., one symbol time. Beacon signal information 252 5 includes identification information 258 and power level information 260. Beacon identification information 258 may include information used to identify and associate the beacon signal with specific BS 200, e.g., a specific tone or set of tones which comprise the beacon signal at a specific time in a repetitive downlink transmission interval or cycle. Beacon power level information 260 includes information defining the power level at which the beacon signal is transmitted. Pilot signals may include known signals broadcast to WTs at moderately high power levels, e.g., above ordinary signaling levels, which are typically used for identifying a base station, synchronizing with a base station, and obtaining a channel estimate. Pilot signal information 254 includes identification information 262 and power level information 264. Pilot identification information 262 includes information used to identify and associate the pilot 10 signals with specific base station 200. Pilot power level information 264 includes information defining the power level at which the pilot signals are transmitted. Various signals providing information about signal transmission power levels, e.g., pilot and beacon signal transmission pilot levels, may be broadcast for use by wireless terminals in determining gain ratios and/or interference reports. Assignment signals includes broadcast uplink and downlink traffic channel 15 segment assignment signals transmitted typically at power levels above ordinary signaling levels so as to reach WTs within its cell which have poor channel quality conditions. Assignment signaling information 256 includes identification information 266 and power level information 268. Assignment signaling identification information 266 includes information associating specific tones at specific times in the downlink timing cycle with assignments for the specific 20 BS 200. Assignment power level information 268 includes information defining the power level at which the assignment signals are transmitted.

Wireless terminal data/information 240 includes a plurality of sets of WT data/information, WT 1 information 242, WT N info 244. WT 1 information 242 includes data 270, terminal identification information 272, interference cost report information 274, requested uplink traffic segments 276, and assigned uplink traffic segments 278. Data 270 includes user data associated with WT 1, e.g., data and information received from WT1 intended to be communicated by BS 200 either directly or indirectly to a peer node of WT1, e.g., WT N, in which WT 1 is participating in a communications session. Data 270 also includes received data 25

and information originally sourced from a peer node of WT 1, e.g., WT N. Terminal identification information 272 includes a BS assigned identifier associating WT 1 used by the BS to identify WT 1. Interference cost report information 274 includes information which has been forwarded in a feedback report from WT 1 to BS 200 identifying interference costs of WT 5 1 transmitting uplink signaling to the communications system. Requested uplink traffic segments 276 include requests from WT1 for uplink traffic segments which are allocated by the BS scheduler 230, e.g., number, type, and/or time constraint information. Assigned uplink traffic segments 278 includes information identifying the uplink traffic segments which have been assigned by the scheduler 230 to WT 1.

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Uplink traffic channel information 246 includes a plurality of uplink traffic channel segment information sets including information on the segments that may be assigned by BS scheduler 230 to WTs requesting uplink air link resources. Uplink traffic channel information 246 includes channel segment 1 information 280 and channel segment N information 282. 15 Channel segment 1 information 280 includes type information 284, power level information 286, definition information 288, and assignment information 290. Type information 284 includes information defining the characteristics of the segment 1, e.g., the frequency and time extent of the segment. For example, the BS may support multiple types of uplink segments, e.g., a segment with a large bandwidth but a short time durations and a segment with a small 20 bandwidth but a long time duration. Power level information 286 includes information defining the specified power level at which the WT is to transmit when using uplink segment 1. Definition information 288 includes information defining specific frequencies or tones and specific times which constitute uplink traffic channel segment 1. Assignment information 290 includes assignment information associated with uplink traffic segment 1, e.g., the identifier of 25 the WT being assigned the uplink traffic channel segment 1, a coding and/or a modulation scheme to be used in uplink traffic channel segment 1.

Interference report request information messages 248, used in some embodiments, are messages to be transmitted, e.g., as a broadcast messages or as messages directed to specific 30 WTs. The by BS 200 may transmit to WTs 300 on a common control channel instructing the WTs to determine and report the interference information with respect to a particular base station transmitter, e.g., base station sector transmitter, in the communications system. Interference report request information messages 248 normally include base station transmitter identification information 292 which identifies the particular base station sector being currently

designated for the interference report. As discussed above, some base stations are implemented as single sector base stations. Over time BS 200 may change base station identification information 292 to correspond to each of the neighboring transmitters and thereby obtain interference information about multiple neighbors.

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Interference control indicator signals 250, used in some embodiments, e.g., where at least some of the uplink traffic segments are not explicitly assigned by the base station, are signals broadcast by BS 200 to WTs 300 to control, in terms of interference, which WTs may use uplink traffic segments. For example, a multi-level variable may be used where each level indicates 10 how tightly the BS 200 would like to control interference. WTs 300 which receive this signal can use this signal in combination with their own measured interference to determine whether or not the WT 300 is allowed to use the uplink traffic segments being controlled.

Communication routines 226 implement the various communications protocols used by 15 the BS 200 and control overall transmission of user data. Base station control routines 228 control the operation of the I/O devices, I/O interface 208, receiver 202, transmitter 204, and controls the operation of the BS 200 to implement the methods of the present invention. Scheduler 230 allocates uplink traffic segments under its control to WTs 300 based upon a 20 number of constraints: power requirement of the segment, transmit power capacity of the WT, and interference cost to the system. Thus, the scheduler 230 may, and often does, use information from received interference reports when scheduling downlink transmissions. Downlink broadcast signaling module 232 uses the data/information 2224 including the 25 downlink broadcast reference signal information 240 to generate and transmits broadcast signals such as beacons, pilot signals, assignments signals, and/or other common control signal transmitted at known power levels which may be used by WTs 300 in determining downlink channel quality and uplink interference levels. WT interference report processing module 234 uses the data/information 240 including the interference cost report information 274 obtained from the WTs 300 to process, correlate, and forward uplink interference information to the scheduler 230. The report request module 236, used in some embodiments, generates a 30 sequence of interference report request messages 248 to request a sequence of uplink interference reports, each report corresponding to one of its adjacent base stations. Interference indicator module 238, used in some embodiments, generates (multi-level) interference control indicator signals 250 which are transmitted to the WTs 300 to control access to some uplink traffic channel segments which a

Figure 3 illustrates an exemplary wireless terminal 300, implemented in accordance with the present invention. Exemplary wireless terminal 300 may be a more detailed representation of any of the WTs 106, 108, 118, 120 of exemplary system wireless communication system 100 of Figure 1. WT 300 includes a receiver 302, a transmitter 304, I/O devices 310, a processor, e.g., a CPU, 306, and a memory 312 coupled together via bus 314 over which the various elements may interchange data and information. Receiver 302 is coupled to antenna 316; transmitter 304 is coupled to antenna 316.

Downlink signals transmitted from BS 200 are received through antenna 316, and processed by receiver 302. Transmitter 304 transmits uplink signals through antenna 318 to BS 200. Uplink signals includes, e.g., uplink traffic channel signals and interference cost reports. I/O devices 310 include user interface devices such as, e.g., microphones, speakers, video cameras, video displays, keyboard, printers, data terminal displays, etc. I/O devices 310 may be used to interface with the operator of WT 300, e.g., to allow the operator to enter user data, voice, and/or video directed to a peer node and allow the operator to view user data, voice, and/or video communicated from a peer node, e.g., another WT 300.

Memory 312 includes routines 320 and data/information 322. Processor 306 executes the routines 320 and uses the data/information 322 in memory 312 to control the basic operation of the WT 300 and to implement the methods of the present invention. Routines 320 include communications routine 324 and WT control routines 326. WT control routines 326 include a reference signal processing module 332, an interference cost module 334, and a scheduling decision module 330. Reference signal processing module 332 includes an identification module 336, a received power measurement module 338, and a channel gain ratio calculation module 340. Interference cost module 334 includes a filtering module 342, a determination module 344, and a report generation module 346. The report generation module 346 includes a quantization module 348.

Data/information 322 includes downlink broadcast reference signal information 349, wireless terminal data/information 352, uplink traffic channel information 354, receiver interference report request information message 356, received interference control indicator signal 358, and received broadcast reference signals 353.

Downlink broadcast reference signal information 349 includes a plurality of downlink broadcast reference signal information sets, base station 1 downlink broadcast reference signal information 350, base station M downlink broadcast reference signal information 351. BS 1 downlink broadcast reference signal information includes beacon signal information 360, pilot signal information 362, and assignment signaling information 364. Beacon signal information 360 includes identification information 366, e.g., BS identifier and sector identifier information, and power level information 368. Pilot signal information 362 includes identification information 370 and power level information 372. Assignment signaling information 364 includes identification information 374 and power level information 376.

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Wireless terminal data/information 352 includes data 382, terminal identification information 384, interference report information 386, requested uplink traffic segments 388, and assigned uplink traffic segments 390.

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Uplink traffic channel information 354 includes a plurality of uplink traffic channel information sets, channel 1 information, channel N information 392. Channel 1 information includes type information 393, power level information 394, definition information 395, and assignment information 396. The scheduling module 330 controls the scheduling of the transmission interference reports, e.g., according to a predetermined schedule, BS requested interference reports in response to received report requests, and user data.

Received interference report request information message 356 includes a base station identifier 397.

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Fig. 4 illustrates an exemplary system 400 implemented in accordance with the invention which will be used to explain various features of the invention. The system 400 includes first, second and third cells 404, 406, 408 which neighbor each other. The first cell 404 includes a first base station including a first base station sector transmitter (BSS_0) 410 and a wireless terminal 420 which is connected to BSS_0 410. The second cell 406 includes a station base station including a second base station sector transmitter (BSS_1) 412. The third cell 408 includes a third station base station including a third base station sector transmitter (BSS_2) 414. As can be seen, signals transmitted between BSS_0 and the WT 420 are subjected to a channel gain g_0 . Signals transmitted between BSS_1 and the WT 420 are subjected to a channel gain g_1 . Signals transmitted between BSS_2 and the WT 420 are subjected to a channel gain g_2 .

Assume that the WT is connected to BSS_0 410 using BSS_0 410 as its attachment point. A gain ratio $G_i = \text{ratio of the channel gain from the } BSS_i \text{ to the WT to the channel gain from the } BSS_0$ to which the WT 420 is connected. That is:

5

$$G_i = g_i/g_0$$

Assuming that beacon signals are transmitted from the first, second and third BSSs at the same power level, the received power (PB) of the beacon signals received from the base stations 10 BSS_0 , BSS_1 , BSS_2 can be used to determine the gain ratio's as follows:

$$G_0 = g_0/g_0 = 1 = PB_0/PB_0$$

$$G_1 = g_1/g_0 = 1 = PB_1/PB_0$$

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$$G_2 = g_2/g_0 = 1 = PB_2/PB_0$$

The following discussion of the invention will focus on the operation of the uplink traffic channel in accordance with the invention. In the exemplary system, the traffic segments that 20 constitute the uplink traffic channel may be defined over different frequency and time extents in order to suit a broad class of wireless terminals that are operating over a diverse set of wireless channels and with different device constraints. Figure 6 is a graph 100A of frequency on the vertical axis 102A vs time on the horizontal axis 104A. 6 illustrates two kinds of traffic segments in the uplink traffic channel. Traffic segment denoted A 106A occupies twice the 25 frequency extent of the traffic segment denoted B 108A. The traffic segments in the uplink traffic channel can be shared dynamically among the wireless terminals that are communicating with the base station. A scheduling module that is part of the base station can rapidly assign the traffic channel segments to different users according to their traffic needs, device constraints and channel conditions, which may be time varying in general. The uplink traffic channel is thus 30 effectively shared and dynamically allocated among different users on a segment-by-segment basis. The dynamic allocation of traffic segments is illustrated in Figure 6A in which segment A is assigned to user #1 by the base station scheduler and segment B is assigned to user #2.

In the exemplary system, the assignment information of traffic channel segments is transported in the assignment channel, which includes a series of assignment segments. Each traffic segment is associated with a corresponding unique assignment segment that conveys the assignment information that may include the identifier of the wireless terminal and also the coding and modulation scheme to be used in that traffic segment. Figure 7 is a graph 200A of frequency on the vertical axis 202A vs. time on the horizontal axis 204A. Fig. 7 shows two assignment segments, A' 206A and B' 208A, which convey the assignment information of the uplink traffic segments A 210A and B 212A, respectively. The assignment channel is a shared channel resource. The wireless terminals receive the assignment information conveyed in the assignment channel and then transmit on the uplink traffic channel segments according to the assignment information.

The base station scheduler 230 allocates traffic segments based on a number of considerations. One constraint is that the transmit power requirement of the traffic channel should not exceed the transmit power capability of the wireless terminal. Hence, wireless terminals that are operating over weaker uplink channels may be allocated traffic segments that occupy a narrower frequency extent in the exemplary system in order that the instantaneous power requirements are not severely constraining. Similarly, wireless terminals that generate a greater amount of interference may also be allocated traffic segments that include a smaller frequency extent in order to reduce the impact of the instantaneous interference generated by them. In accordance with the invention, the total interference is controlled by scheduling the transmission of the wireless terminals on the basis of their interference costs to the system, which are defined in the following.

In accordance with the invention, the wireless terminals determine their interference costs to the system from the received downlink broadcast signals. In one embodiment, the wireless terminals report their interference costs to the base station, in the form of interference reports, which then makes uplink scheduling decisions to control uplink interference. In another embodiment, the base station broadcasts an interference control indicator, and the wireless terminals compare their interference costs with the received indicator to determine their uplink transmission resources in an appropriate manner, e.g., mobiles have uplink transmission costs below a level indicated by the control indicator may transmit while mobiles with interference costs exceeding the cost level indicated by the control indicator will refrain from transmitting.

Exemplary Interference costs which may be considered will now be described.

Consider a wireless terminal labeled m_0 . Assume the wireless terminal is connected to base station B_0 . Denote $G_{0,k}$ the channel gain between this wireless terminal and base station B_k , for $k=0, 1, \dots, N-1$, where N is the total number of base stations in the system.

In the exemplary system, the amount of power transmitted by wireless terminal 0 on the uplink traffic segment is usually a function of the condition of the wireless channel from wireless terminal m_0 to the base station B_0 , the frequency extent, and the choice of code rate on the traffic segment. The frequency extent of the segment and the choice of code rate determine the transmit power used by the mobile, which is the quantity that directly causes interference. Assume that the SNR required for the base station receiver to decode the traffic segment necessitates a receive power P_R per tone of the traffic segment (which is a function of the choice of code rate and the channel conditions over which the mobile terminal is operating). This is related to the transmit power per tone of the wireless terminal, P_T , as follows:

$$P_R = P_T G_{0,0}$$

The interference per tone produced by this wireless terminal at neighboring base station k can then be computed as follows:

$$P_{I,k} = P_T G_{0,k} = P_R \frac{G_{0,k}}{G_{0,0}}$$

Denote $r_{0,k} = \frac{G_{0,k}}{G_{0,0}}$. From this expression, it is clear that the interference generated by wireless terminal m_0 at base station B_k is proportional to its transmit power as well as the ratio of the channel gains to base station k and to its own base station. Hence, $r_{0,k}$ is called the interference cost of wireless terminal m_0 to base station B_k .

Generalizing this concept, the total interference per tone produced by a wireless terminal to all the neighboring base stations is

$$P_I^{\text{total}} = P_T(G_{0,1} + G_{0,2} + \dots + G_{0,N}) = P_R \frac{\sum_{k=0}^N G_{0,k}}{G_{0,0}} = P_R \sum_{k=1}^N r_{0,k}$$

Therefore, $\{r_{0,1}, \dots, r_{0,N}\}$ are the interference costs of wireless terminal 0 to the entire system.

- 5 It is useful to note that the aggregate instantaneous interference produced by the mobile m_0 to base station B_k is actually given by $n_{\text{tones}} r_{0,k}$ where n_{tones} is the frequency extent of the traffic segment.

Method of determining interference costs in some embodiments will now be described.

- 10 In one exemplary embodiment, each base station 102, 114 in the exemplary system 100 broadcasts periodic reference signals at high power that the wireless terminals can detect and decode. The reference signals include beacons, pilots, or other common control signals. The reference signals may have a unique pattern that serves to identify the cell and the sector of the base station.

- 15 In the exemplary OFDM system 100, a beacon or pilot signal can be used as the reference signals. A beacon signal is a special OFDM symbol in which most of the transmission power is concentrated on a small number of tones. The frequency location of those high-power tones indicates the identifier of the base station. A pilot signal can have a special hopping pattern, which also uniquely specifies the identifier of the base station 102. Thus, a base station sector can be identified in the exemplary system from beacon and/or pilot signals.

- 20 In a CDMA system, a pilot signal can be used as the reference signal. In the IS-95 system, for example, a pilot is a known spreading sequence with a particular time offset as the identifier of the base station.

While the exemplary system 100 described above uses beacon or pilot signals to provide a reference signal for path loss estimation, the invention is applicable in a wide variety of systems that may use other techniques to provide reference signals.

- 30 The reference signals are transmitted at known powers. Different reference signals may be transmitted at different powers. Different base stations 102, 114 may use different power

levels for the same type of reference signals as long as these powers are known to the mobile terminals.

The wireless terminal 106 first receives the reference signals to get the identifier of the
5 base station 102. Then, the wireless terminal 106 measures the received power of the reference signals, and calculates the channel gain from the base station 102 to the wireless terminal 106. Note that at a given location, the wireless terminal may be able to receive the reference signals from multiple base stations 102, 114. On the other hand, the wireless terminal may not be able to receive the reference signals from all the base stations in the entire system. In the exemplary
10 system, wireless terminal m_0 monitors $G_{0,0}$ for its connected base station B_0 , and $G_{0,k}$ for base station B_k if it can receive the corresponding reference signal. Therefore, wireless terminal m_0 maintains an array of interference costs $\{r_{0,k}\}$ for the set of base stations whose reference signals it can receive

15 Note that the wireless terminal 106 can derive the interference costs by combining the estimation from multiple reference signals. For example, in the exemplary OFDM system 100, the wireless terminal 106 may use both beacons and pilots to arrive at the estimation of $\{r_{0,k}\}$.

20 The information of interference costs $\{r_{0,k}\}$ is to be used to control the uplink interference and increase overall system capacity. The uplink traffic channels can be used in two modes and the following describes the use of interference costs in both modes.

25 It should be pointed out that the wireless terminals 106, 108 measured the channel gain information from the downlink reference signals, while the interference are a measure of the costs the interference will have in terms of impact on the uplink. The channel gains of the downlink and the uplink between a wireless terminal 106 and a base station 102 may not be same at all times. To remove the effect of short-term, the estimates of the channel gains from the downlink reference signals may, and in some embodiments are, averaged (using a form of lowpass filtering for example) to obtain the estimates of interference costs $\{r_{0,k}\}$.

30

Use of determined Interference Costs in a Scheduled Mode of operation will now be discussed. In one particular exemplary mode of operation, each of the uplink traffic segments are explicitly assigned by the base station so that one uplink traffic segment is only used by at

most one wireless terminal. In the exemplary OFDM system, as the traffic segments are orthogonal with each other, there is normally no intracell interference in an uplink traffic segment in this mode.

5. To facilitate scheduling at the base station 102, in accordance with the invention, each wireless terminal 206, 108 sends to the base station 102, which the wireless terminal 106 is connected to, a sequence of interference reports. The reports, in some embodiments are indicative of the calculated interference costs $\{r_{0,k}\}$. In an extreme case, a report is a control message that includes the entire array of interference costs $\{r_{0,k}\}$. To reduce the signaling overhead, however, in a preferred embodiment only a quantized version of the array $\{r_{0,k}\}$ is transmitted. There are a number of ways to quantize $\{r_{0,k}\}$, as listed below.

- 10
- Report $r_{0,\text{total}}$, which is the sum of all $\{r_{0,k}\}$.
 - Report the maximum of $\{r_{0,k}\}$ and the index k associated with the maximum.

15

 - Report $\{r_{0,k}\}$ one-by-one, and the associated index k , periodically.
 - Use a small number of levels to report $r_{0,k}$. For example, two levels to indicate whether $r_{0,k}$ is strong or weak.

20 After receiving the one or more interference reports, the base station schedules, e.g., assigns, the traffic segments as a function of the interference information. One scheduling policy is to restrict the total interference produced by all scheduled wireless terminals to a pre-determined threshold. Another scheduling policy is categorize the wireless terminals according to their reported $\{r_{0,k}\}$ to several groups such that the group with large interference costs is preferably assigned traffic segments that include a smaller frequency extent in order to reduce 25 the impact of the instantaneous interference generated.

Consider one embodiment in which each base station 102 is aware of its neighbor set, i.e., the set of base stations 114, etc. that are determined to be neighbors from the perspective of interference. In a basic embodiment, the base station 102 just attempts to control the total 30 interference to the neighboring base stations. The basic embodiment may be coarse in the sense that almost all the interference may be directed to a particular one of the neighboring base stations (cell X), e.g., because all the scheduled wireless terminals may be close to cell X. In this case, cell X experiences severe interference at this time instant. At another time instant, the

interference may be concentrated on a different neighboring base station, in which case cell X experiences little interference. Hence, in the above embodiment of total interference control, the interference to a particular neighboring base station may have large variation. In order to avoid destabilizing the intercell interference, the base station 102 may have to leave sufficient margin
5 in the total generated interference to compensate the large variation.

In an enhanced embodiment, the base station 102 broadcasts a message on a common control channel instructing the wireless terminals 106, 108 to determine and report the interference cost with respect to a particular base station B_k . Thus, the wireless terminals, m_j ,
10 $j=0, 1, 2, \dots$ will send the reports of $r_{j,k}$. Over time, the base station 102 repeats this process for each member of its neighbor set and determines the set of wireless terminals 106, 108 that interfere with each of the base stations. Once this categorization is complete, the base station 102 can simultaneously allocate uplink traffic segments to a subset of wireless terminals 106,
15 108 that interfere with different base stations, thereby reducing the variation of the interference directed to any particular base station. Advantageously, because the interference has less variation, the base station 102 may allow greater total interference to be generated without severely impacting the system stability, thus increasing the system capacity. Wireless terminals 106, 108 in the interior of the cell 104 cause negligible interference to neighboring base stations 114 and therefore may be scheduled at any time.
20

Use of Interference Costs in a Non-scheduled Mode of operation used in some but not necessarily all implementations will now be discussed.

In this non-scheduled mode, each of the uplink traffic segments are not explicitly
25 assigned by the base station 102. As a result, one uplink traffic segment may be used by multiple wireless terminals 106, 108. In a CDMA system, as the uplink traffic segments are not orthogonal with each other, there is generally intracell interference in an uplink traffic segment in this mode.

30 In this mode, each wireless terminal 106, 108 makes its own scheduling decision of whether it is to use an uplink traffic segment and if so at what data rate and power. To help reduce excessive interference and maintain system stability, in accordance with the invention,

the base station broadcasts the interference control indicator. Each wireless terminal 106, 108 compares the reference levels with its interference costs and determines its scheduling decision.

In one embodiment, the interference control indicator can be a multi-level variable and
5 each level is to indicate how tightly the base station 102 would like to control the total interference. For example, when the lowest level is broadcasted, then all wireless terminals 106, 108 are allowed to use all the traffic channel segments at all rates. When the highest level is broadcasted, then only the wireless terminals 106, 108 whose interference costs are very low can use the traffic channel segments. When a medium level is broadcasted, then the wireless
10 terminals 106, 108 whose interference costs are low can use all the traffic channel segments, preferably the traffic segments that include a larger frequency extent, while the wireless terminals 106, 108 whose interference costs are high can only use the traffic segments that consist of a smaller frequency extent and at lower data rate. The base station 102 can dynamically change the broadcasted interference control level to control the amount of
15 interference the wireless terminals 106, 108 of the cell 104 generate to other base stations.

While described in the context of an OFDM system, the methods and apparatus of the present invention, are applicable to a wide range of communications systems including many non-OFDM and/or non-cellular systems.

20 In various embodiments nodes described herein are implemented using one or more modules to perform the steps corresponding to one or more methods of the present invention; for example, signal processing, beacon generation, beacon detection, beacon measuring, connection comparisons, connection implementations. In some embodiments various features of the present
25 invention are implemented using modules. Such modules may be implemented using software, hardware or a combination of software and hardware. Many of the above described methods or method steps can be implemented using machine executable instructions, such as software, included in a machine readable medium such as a memory device, e.g., RAM, floppy disk, etc. to control a machine, e.g., general purpose computer with or without additional hardware, to
30 implement all or portions of the above described methods, e.g., in one or more nodes. Accordingly, among other things, the present invention is directed to a machine-readable medium including machine executable instructions for causing a machine, e.g., processor and associated hardware, to perform one or more of the steps of the above-described method(s).

Numerous additional variations on the methods and apparatus of the present invention described above will be apparent to those skilled in the art in view of the above description of the invention. Such variations are to be considered within the scope of the invention. The methods and apparatus of the present invention may be, and in various embodiments are, used
5 with CDMA, orthogonal frequency division multiplexing (OFDM), and/or various other types of communications techniques which may be used to provide wireless communications links between access nodes and mobile nodes. In some embodiments the access nodes are implemented as base stations which establish communications links with mobile nodes using OFDM and/or CDMA. In various embodiments the mobile nodes are implemented as notebook
10 computers, personal data assistants (PDAs), or other portable devices including receiver/transmitter circuits and logic and/or routines, for implementing the methods of the present invention.

WHAT IS CLAIMED IS:

- 1 1. A method of operating a wireless terminal comprising:
 - 2 receive a first signal from a first base station with which the wireless terminal has a
 - 3 connection;
 - 4 receive a second signal from a second base station;
 - 5 measure the power of the first received signal;
 - 6 measure the power of the second received signal; and
 - 7 transmit a report indicating a ratio of a first value to a second value, the first and second
 - 8 values being a function of the measured power of the first received signal and the measured
 - 9 power of the second received signal, respectively.
- 1 2. The method of claim 1, wherein at least the first value different from, but determined
- 2 from, the measured power of the first signal or wherein the second value is different from but
- 3 determined from the measured power of the second signal.
- 1 3. The method of claim 1, wherein the first received signal is one of a beacon signal and a
- 2 pilot signal received from the first base station.
- 1 4. The method of claim 3, wherein the second received signal is one of a beacon signal and
- 2 a pilot signal received from the second base station, each of the first and second signals being
- 3 single tone signals having a duration less than 3 OFDM symbol transmission time periods long.
- 1 5. The method of claim 4, wherein the second signal is a signal that was transmitted at a
- 2 higher per tone power level than any user data transmitted during the duration of the second
- 3 signal by the base station which transmitted said second signal.
- 1 6. The method of claim 1, wherein the first value is equal to the measured power of the first
- 2 received signal.
- 1 7. The method of claim 6, wherein the second value is equal to the measured power of the
- 2 second received signal.

1 8. The method of claim 1, wherein the first value is equal to the measured power of the first
2 received signal multiplied by a gain factor where the gain factor is a function of the relative
3 transmission power of the first and second signals.

1 9. The method of claim 1, wherein the second value is equal to the measured power of the
2 second received signal multiplied by a gain factor where the gain factor is a function of the
3 relative transmission power of the first and second signals.

1 10. The method of claim 1, wherein the first and second signals are reference signals, said
2 reference signals being transmitted at a first and a second fixed power level, respectively, the
3 method further comprising:

4 receiving one or more additional beacon signals from one or more additional base
5 stations respectively,

6 measuring the power of the received one or more additional beacon signals;
7 wherein the method includes determining the second value from the measured power of
8 the second signal and the measured power of the one or more additional beacon signals; and
9 wherein the first value is equal to measured power of the first signal.

1 11. The method of claim 8, wherein determining the second value includes:

2 setting said second value to the maximum of the measured power of the second signal
3 and the one or more additional beacon signals.

1 12. The method of claim 10, wherein determining the second value includes:

2 setting said second value to the sum of the measured power of the second signal and the
3 one or more additional beacon signals.

1 13. The method of claim 3, further comprising:

2 prior to receiving said first signal, receiving an additional beacon signal from said first
3 base station;

4 measuring the power of the additional received beacon signal; and

5 wherein first value is a function of an average of the measured power of the first signal
6 and the measured power of said additional received signal.

1 14. The method of claim 13, wherein the first value is equal to an average of the measured
2 power of the first received signal and the measured power of said additional received signal
3 multiplied by a gain factor where the gain factor is a function of the relative transmission power
4 of the first and second signals.

1 15. The method of claim 13, further comprising,
2 prior to receiving said second signal, receiving a second additional beacon signal from
3 said second base station;
4 measuring the power of the second additional received beacon signal; and
5 wherein second value is a function of an average of the measured power of the second signal and
6 the measured power of said second additional received beacon signal.

1 16. A wireless terminal comprising:
2 a receiver module for receiving a first signal from a first base station with which the
3 wireless terminal has a connection and a second signal from a second base station;
4 a power measurement module for the power of the first and second received signals; and
5 a report generation module for generating a report indicating a ratio of a first value to a
6 second value, the first and second values being a function of the measured power of the first
7 received signal and the measured power of the second received signal, respectively.

1 17. The wireless terminal of claim 16, wherein at least the first value different from, but
2 determined from, the measured power of the first signal or wherein the second value is different
3 from but determined from the measured power of the second signal.

1 18. The wireless terminal of claim 16, wherein the first received signal is one of a beacon
2 signal and a pilot signal received from the first base station.

1 19. The wireless terminal of claim 18, wherein the second received signal is one of a beacon
2 signal and a pilot signal received from the second base station, each of the first and second
3 signals being single tone signals having a duration less than 3 OFDM symbol transmission time
4 periods long.

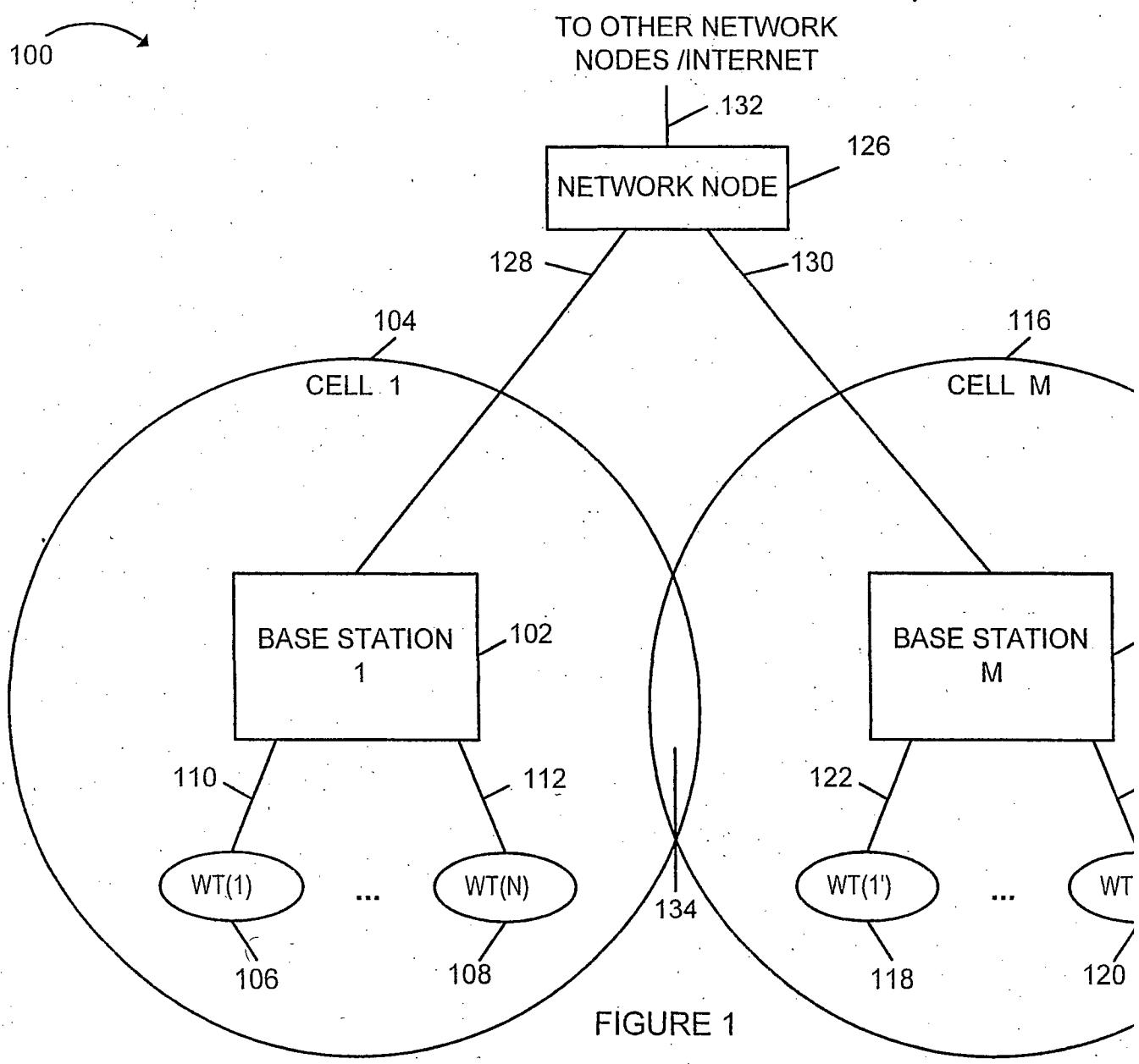
- 1 20. The wireless terminal of claim 19, wherein the second signal is a signal that was
- 2 transmitted at a higher per tone power level than any user data transmitted during the duration of
- 3 the second signal by the base station which transmitted said second signal.

- 1 21. The wireless terminal of claim 16, wherein said report generation module sets the first
- 2 value equal to the measured power of the first received signal.

- 1 22. The wireless terminal of claim 21, wherein the second value is equal to the measured
- 2 power of the second received signal.

- 1 23. The wireless terminal of claim 16, wherein the first value is equal to the measured power
- 2 of the first received signal multiplied by a gain factor where the gain factor is a function of the
- 3 relative transmission power of the first and second signals.

- 1 24. The wireless terminal of claim 16, wherein the second value is equal to the measured
- 2 power of the second received signal multiplied by a gain factor where the gain factor is a
- 3 function of the relative transmission power of the first and second signals.



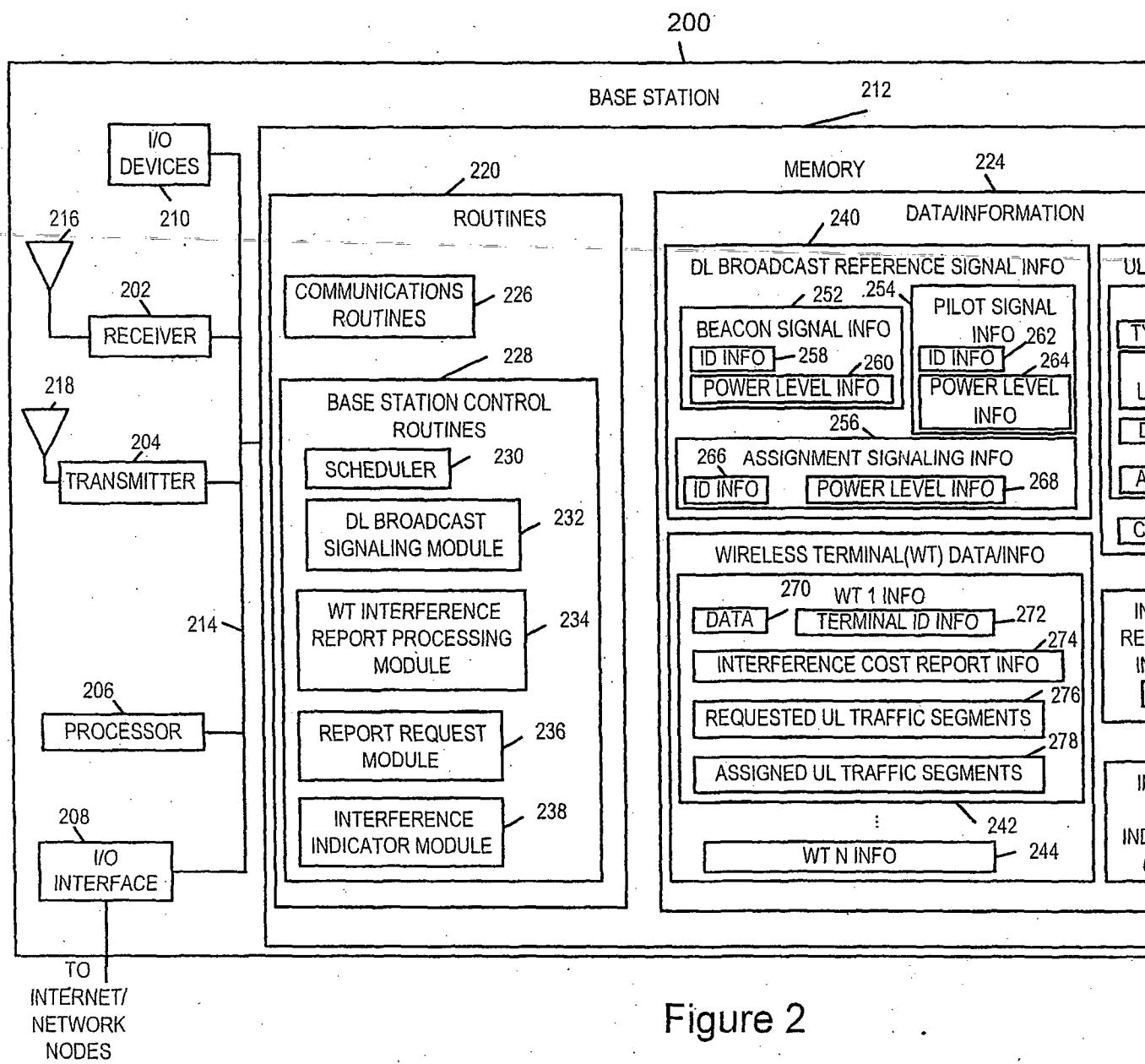


Figure 2

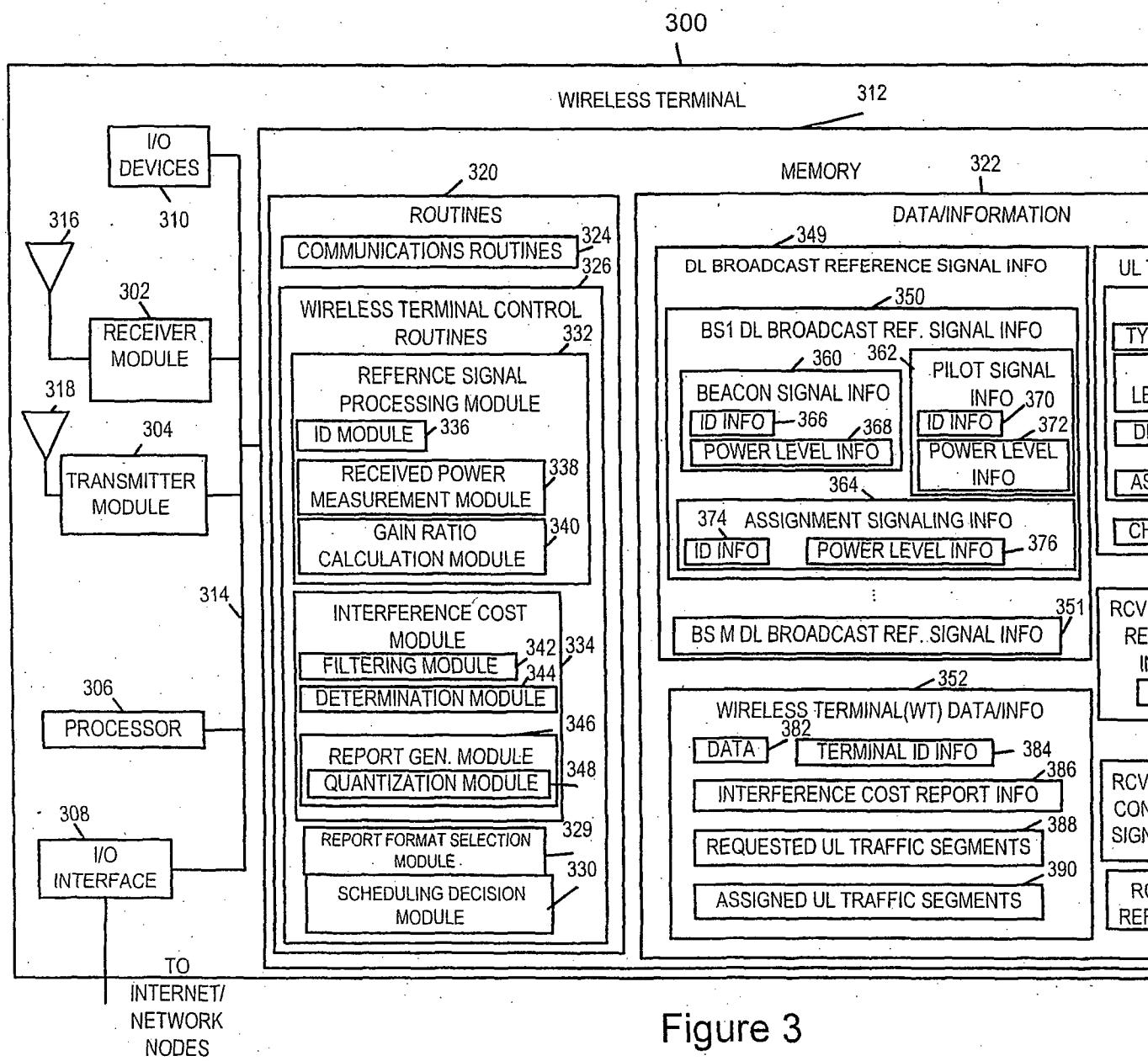


Figure 3

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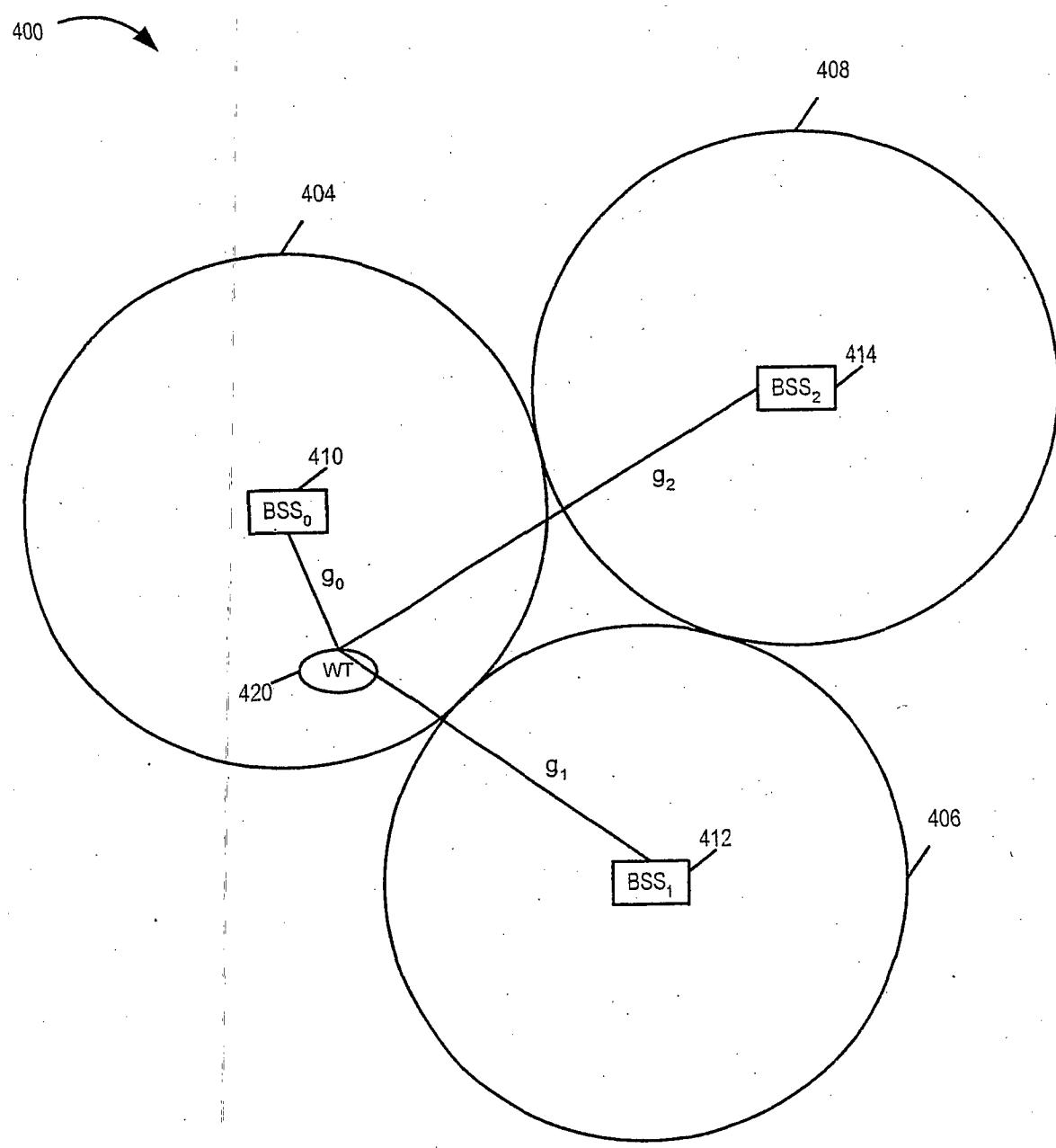


FIGURE 4

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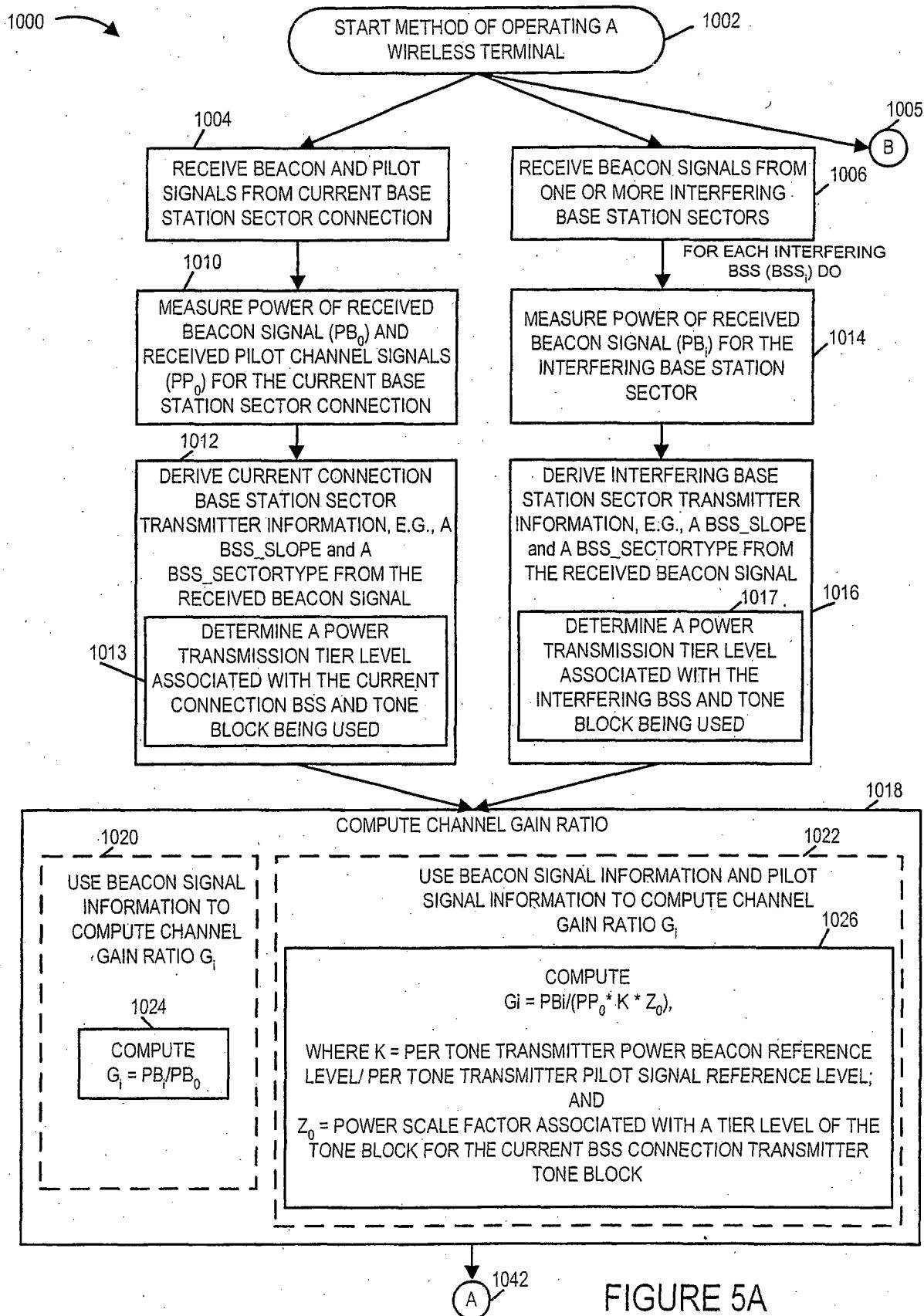


FIGURE 5A

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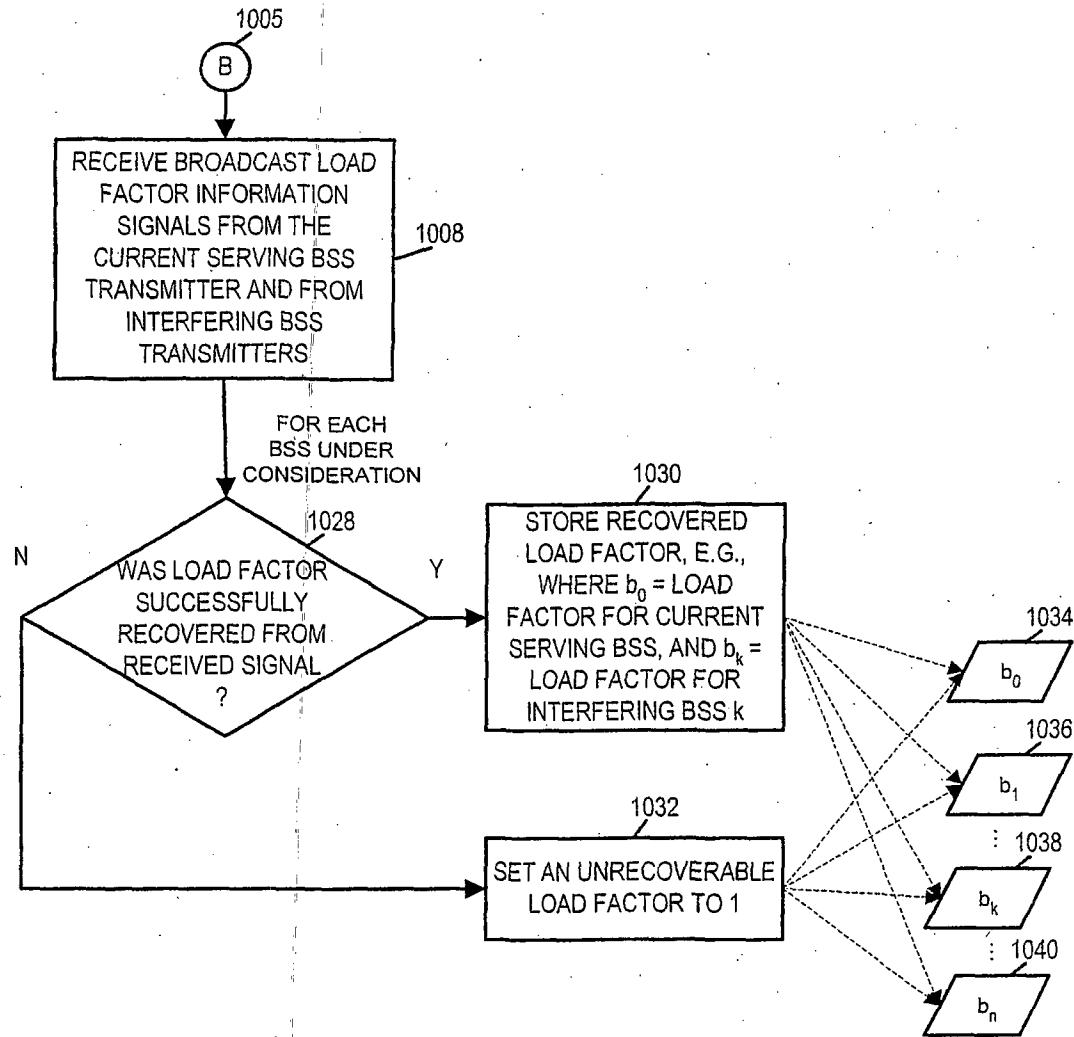
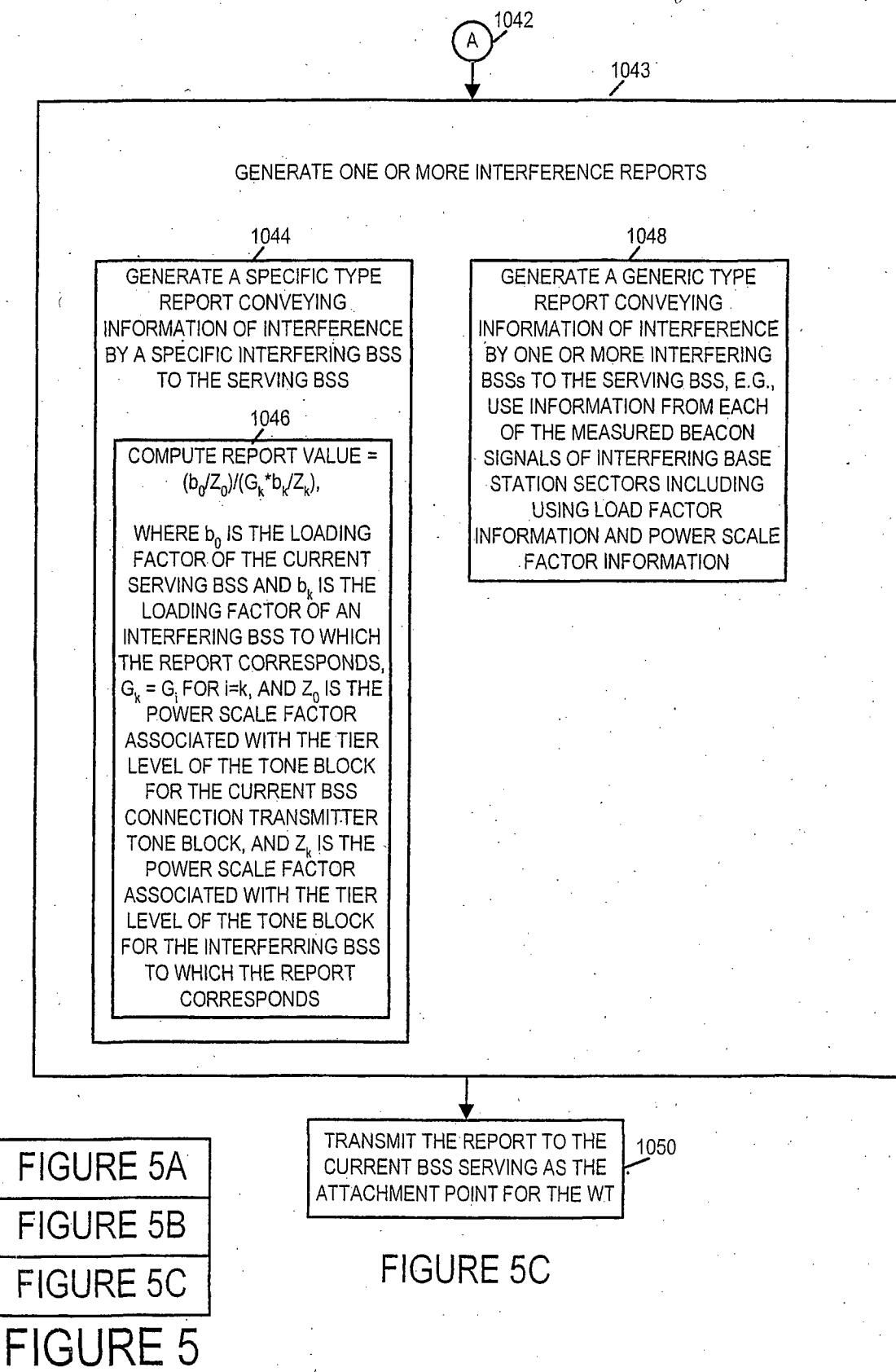


FIGURE 5B

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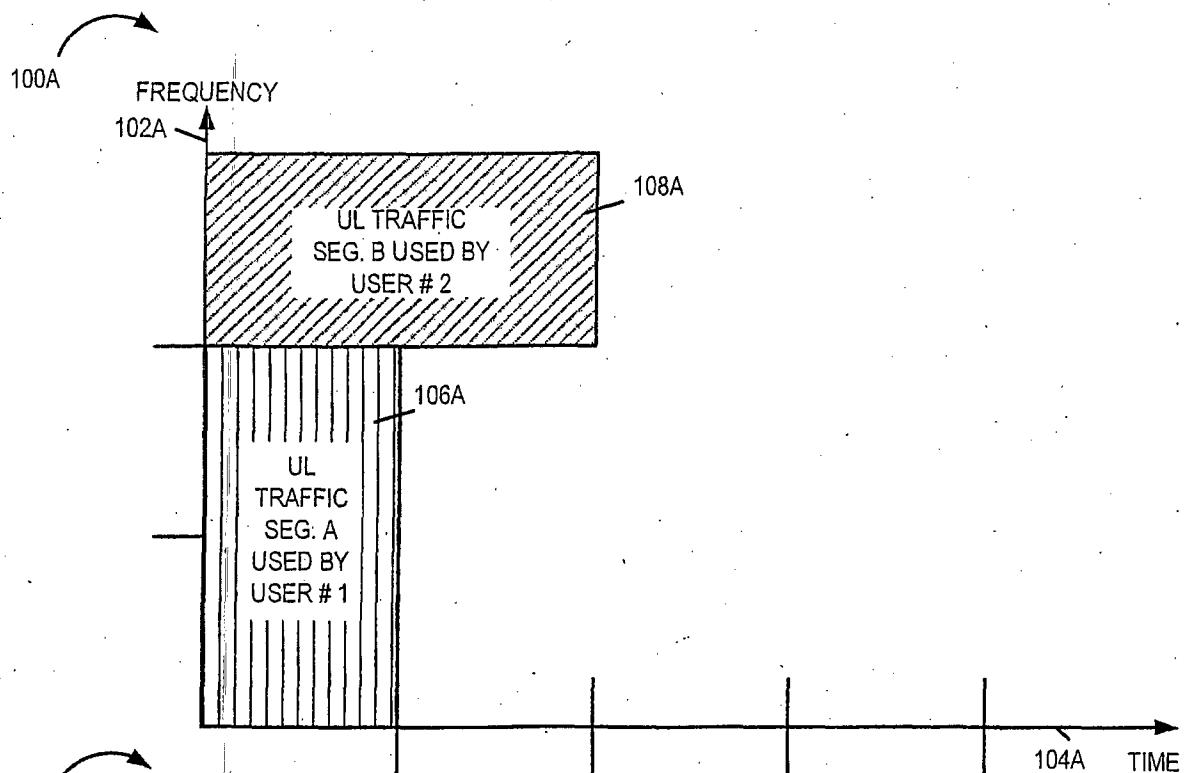


FIGURE 6

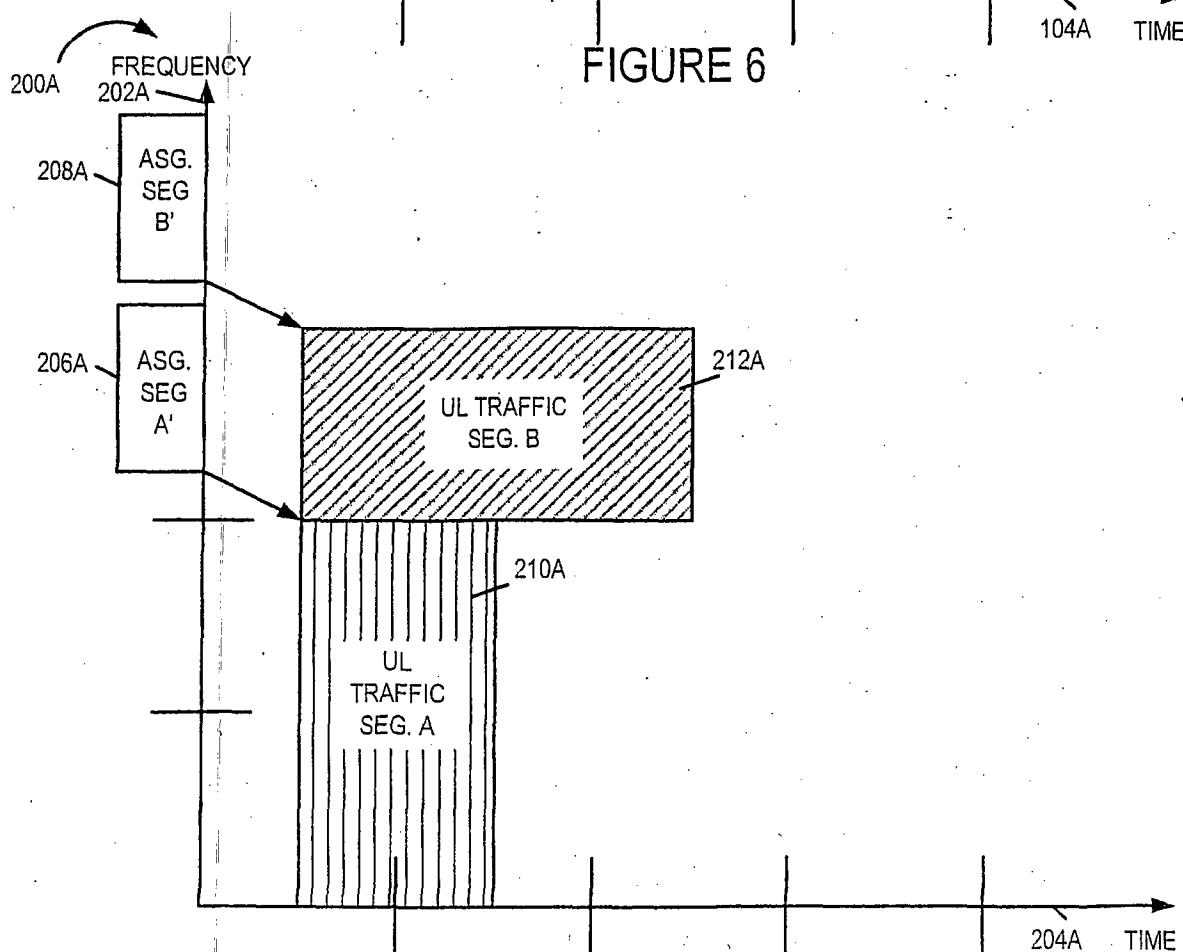


FIGURE 7

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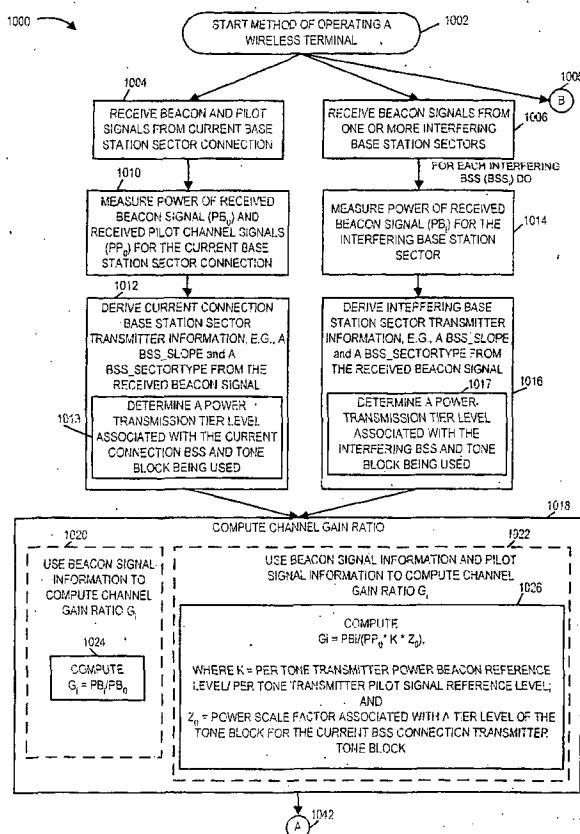
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[Continued on next page]

(54) Title: METHODS AND APPARATUS FOR DETERMINING, COMMUNICATING AND USING INFORMATION WHICH
CAN BE USED FOR INTERFERENCE CONTROL PURPOSES

(57) Abstract: Methods and apparatus for collecting, measuring, reporting and/or using information which can be used for interference control purposes. Wireless terminals (300) measured signals transmitted from one or more basestations, e.g., base stations sector transmitters (304). The measured signals may be, e.g., beacon signals and/or pilot signals. From the measured signals, the wireless terminal (300) generates one or more gain ratios which provide information about the relative gain of the communications channels from different base station sectors to the wireless terminals(300). Based on the signal energy measurements and relative gains generated from the energy measures, reports are generated in accordance with the invention and sent to one or more base station.

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6,771,934 B2 (DEMERS et al.) 03 August 2004	1-24
A	US 5,732,328 A (MITRA et al.) 24 March 1998	1-24

Further documents are listed in the continuation of Box C.

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